

**IAP13 Rec'd PCT/PTO 12 FEB 2007**

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DATE OF DEPOSIT: February 12, 2007

PATENT APPLICATION  
Attorney Docket No.: 24195-521-NATL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: Darren J. Carroll

SERIAL NO.: 10/575,131

I.A. NO.: PCT/US04/028808

I.A. FILING DATE: 23 September 2004  
(23.09.04)

PRIORITY DATE: 09 October 2003  
(09.10.03)

FOR: NETWORKED SYSTEM AND METHOD FOR FORMULATING,  
PROCESSING AND MANAGING CHALLENGES AND SOLUTIONS

**MAIL STOP PCT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith for filing in the present application is the following documents:

1. Response to Notification of Missing Requirements Under 35 U.S.C. 371  
In the United States Designated/Elected Office (DO/EO/US) (1 pg.);
2. Copy of Form PCT/DO/EO/905 (2 pgs.);
3. Executed Combined Declaration and Power of Attorney (3 pgs.);
4. Check No. 3613 in the amount of \$65.00; and
5. a Return Postcard.

The Commissioner is hereby authorized to charge any fees that may be due to Deposit Account No. **50-0311**, Reference No. 24195-521-NATL, Customer No. 35437.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 212/692-6803, New York, New York.


02/15/2007 RKAYPAGH 00000092 10575131

01 FC:2617

65.00 OP

Date: Feb. 12, 2007

Respectfully submitted,



Brian P. Hopkins, Reg. No. 42,669  
Attorney for Applicant  
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GLOVSKY AND POPEO, P.C.  
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Express Mail Label No.: EV829089465US  
Date of Deposit: February 12, 2007

Attorney Docket No. 24195-521-NATL.

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APPLICANT : Darren J. Carroll  
SERIAL NUMBER : 10/575,131 INTERNATIONAL APPLICATION NO.: PCT/US04/028808  
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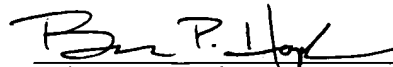
**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.  
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

In response to the Notification of Missing Requirements Under 35 U.S.C. 371, dated December 14, 2006, Applicant submits herewith an executed Combined Declaration and Power of Attorney for Patent Application for the above-identified patent application. This response is due on or before February 14, 2007.

A copy of Form PCT/DO/EO/905 is also enclosed.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 50-0311, Ref. No. 24195-521-NATL. A duplicate of this letter is enclosed.

Respectfully submitted,



Brian P. Hopkins, Reg. No. 42,669  
Attorney for Applicant  
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Dated: February 12, 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/575,131	Darren J. Carroll	24195-521 NATL

INTERNATIONAL APPLICATION NO.	
PCT/US04/28808	
LA. FILING DATE	PRIORITY DATE
09/23/2004	10/09/2003

35437

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 666 THIRD AVENUE  
 NEW YORK, NY 10017

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DEC 13 2003

Mintz Levin - NYC

CONFIRMATION NO. 9352

371 FORMALITIES LETTER



\*OC000000021582651\*

Date Mailed: 12/14/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/07/2006
- Copy of the International Search Report filed on 04/07/2006
- Oath or Declaration filed on 04/07/2006
- U.S. Basic National Fees filed on 04/07/2006
- Priority Documents filed on 04/07/2006
- Power of Attorney filed on 09/13/2006

<input type="checkbox"/> File Folder	Done By
<input type="checkbox"/> Data Entry	<u>0</u>
<input checked="" type="checkbox"/> Docket Entry	
<input type="checkbox"/> Docket Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req	
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<input type="checkbox"/> Annuities	Final

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

MAMIE P PERSON

Telephone: (703) 308-9140 EXT 227

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/575,131	PCT/US04/28808	24195-521 NATL